

UNITED STATES DEPARTMENT OF COMMERCE

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/648,811

08/25/2003

Paul A. Price

407T-895413US

CONFIRMATION NO. 5266

FORMALITIES LETTER

OC00000011717909

Date Mailed: 01/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/26/2004 MAHMED1 00000076 500893

22798

P O BOX 458

ALAMEDA, CA 94501

10648811

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 02 FC:2051 385.00 DA 65.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice .* published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$450 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10/648,811 **Application Number** August 25, 2003 **Filing Date** Paul A. Price **First Named Inventor** Unassigned Group Art Unit Unassigned **Examiner Name** 407T-895413US Attorney Docket Number

			ENCLOSURES	(check all that ap	ply)	
X Fee Transmit		X	Copy of Exec	uted		After Allowance Communication to Group Appeal Communication to Board
Fee At	tached		Executed Dec	elaration	<u> </u>	of Appeals and Interferences
X Amendment	/ Response		Drawings			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After	Final	X	Sequence List	ing w/ Disk		Proprietary Information
Affida	avits/declaration(s)	X				Status Letter
X Extension of	Time Request	X	Executed Pow and 3.73b Cer	er of Attorney tificate	X	Additional Enclosure(s) (please identify below):
	ndonment Request		Small Entity Stat	ement		receipt acknowledgment postcard
Information D	Disclosure Statement		Request for Ref	und		
Certified Copy of Priority Document(s) X Copy of Notice to File		Please of this paper	charge Deposit Ac er or during the p	Deposit Account ecount No. 50-0893 the endency of this application occuments enclosed.	for any ication	additional fees associated with including any extensions of time
Parts	onse to Missing under 37 CFR	Rem	narks			
1,52 (or 1.53					
	SIGNATU	RE OF	APPLICANT,	ATTORNEY, OR	AGE	NT
Firm or Individual name	or Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group P.O.					
Signature La Hand						
Date	August 20. 2	2004				

	TIE	$I \sim \Lambda$	TE	\triangle E	BAAI	11 IA	
CER		IUH	l E	UF	IVIA		ıo

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficie	nt
postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown be	low

Typed	or	printed	name

Signature

Date

8/20/04

AUG 2 5 2004

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MAIL STOP MISSING PARTS

COMMISSIONER FOR PATENTS

P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on August 20, 2004

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

Chianti Appling

Attorney Docket No. 407T-895413US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paul A. Price et al.

Application No.: 10/648,811

Filed: August 25, 2003

For: ASSAY FOR YKL-40 AS A MARKER FOR

DEGRADATION OF MAMMALIAN CONNECTIVE TISSUE MATRICES

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL LETTER - RESPONSE TO NOTICE OF MISSING PARTS

MAIL STOP MISSING PARTS COMMISSIONER FOR PATENTS P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated *January 20, 2004*, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b) (+ copy of Assignment not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Preliminary Amendment
- 5) Sequence Listing with Diskette
- 6) Petition for Extension of Time for 5 months Under 37 CFR 1.136(a)
- 7) Fee transmittal sheet

Paul A. Price et al.

Application No.: 10/648,811

Page 2

8) Transmittal Sheet

9) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Small entity: (a) Filing Fee (§ 1.16(a)) (Small Entity)

\$ 385.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

\$ _____

\$

(c) Missing Parts Surcharge

\$ 65.00

TOTAL FEES TO BE CHARGED

\$ 450.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,

Tom Hunter, J.D., Ph.D.

Reg. No. 38,498

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

P.O. BOX 458

Alameda, CA 94501

(510) 337-7871

Fax (510) 337-7877

METHOD OF DAVMENT	-111 (h -1 h)	EEE CÁI CIII	ATION (continued)		
TOTAL AMOUNT OF PAYMENT	(\$) 1,455.00	Attorney Docket No.	407T-895413US		
Applicant claims small entity status	1	- Art Unit	Unassigned	•	
_/	<u>* </u>	Examiner Name	Unassigned		
Filective 10/0/2003. Patent fees are subject to annual revision.		First Named Inventor	Paul A. Price		
Mis 25 2004 Hor FY	2004	Filing Date	August 25, 2003		
, 101		Application Number	10/648,811		
FEE TRANSMITTAL		Complete if Known			
Under the Paperwork Reduction Act of 1	<u>995, no persons are required t</u>	to respond to a collection of information	n unless it displays a valid OMB control	number.	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None	3. ADDITIONAL FEES				
Deposit Account:	Large Entity Small Entity				
Deposit Account.	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	oo Doid			
Account 50-0893	1051 130 2051 65 Surcharge - late filling fee or oath	65.00			
Number Deposit Quine Intellectual Property Law Group, P.C.	1052 50 2052 25 Surcharge - late provisional filing fee or	05.00			
Account Name	cover sheet				
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification				
Charge fee(s) indicated below Credit any overpayments					
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action				
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month				
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month				
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month				
Fee Fee Fee Fee Paid Code (\$) Code (\$)	2254 1,400 2254 740 Extension for tepty within fourth month				
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month	1005.00			
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal				
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal				
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing				
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 385.00	1452 110 2452 55 Petition to revive - unavoidable				
	1453 1,330 2453 665 Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)				
Extra Claims below Fee Paid	id 1502 480 2502 240 Design issue fee				
Total Claims X = X = X	1503 640 2503 320 Plant issue fee				
Claims - 3** = - 1 ^ =	1460 130 1460 130 Petitions to the Commissioner				
Multiple Dependent =	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity	1806 180 1806 180 Submission of Information Disclosure Stmt				
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per				
1202 18 2202 9 Claims in excess of 20	property (times number of properties) 1809 770 2809 385 Filing a submission after final rejection				
1201 86 2201 43 Independent claims in excess of 3	(37 ČFR 1.129(a))				
1203 290 2203 145 Multiple dependent claim, if not paid					
1204 86 2204 43 ** Reissue independent claims over original patent	examined (37 CFR 1.129(b)) 1801 770 2801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application				
SUBTOTAL (2)	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 1070.	.00			
CHIPMITTED BY	(Complete (il applicable))				

SUBMITTED BY (Complete (il applicable))						
Name (PrintType) Tom Hunter			Registration No. 38,498 Telephone			
Signature	In	Mo			Date	8/20/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.